York Region
Infection Prevention And Control Lapse Report

Initial Report

Premise/Facility under investigation (name and address)

Dr. Deepak Gupta
101-16700 Bayview Ave.
Newmarket, Ontario
L3X 1W1

Type of Premises/Facility

Medical Clinic

Date Board of Health became aware of IPAC lapse (yyyy/mm/dd)	Date of Initial Report posting (yyyy/mm/dd)
2018/11/27	**2019/07/10**
Date of Initial Report update(s) (if applicable) (yyyy/mm/dd)	How the IPAC lapse was identified **Complaint**

Summary Description of the IPAC Lapse

During an inspection conducted on November 27, 2018, York Region Public Health noted the following: personal protective equipment (gloves, gown, facial protection) was not available for staff in the reprocessing area; brushes for manual cleaning of medical equipment/instruments were not cleaned and sterilized or replaced at the end of each day; medical equipment/instruments were not completely submerged during manual cleaning; some medical instruments/equipment were not found to be in good repair; pouches for sterilization containing medical equipment/instruments were not labelled appropriately; physical parameters (time, temperature, pressure) monitoring and daily spore testing of the Prestige 2100 Classic sterilizer were not being conducted and were not verified prior to using sterilized items; no log book(s) present for sterilizer quality assurance monitoring;

IPAC Lapse Investigation	Yes	No	N/A	Please provide further details/steps
Did the IPAC lapse involve a member of a regulatory college?	☒	☐	☐	**College of Physicians and Surgeons of Ontario**
If yes, was the issue referred to the regulatory college?	☒	☐	☐	
Were any corrective measures recommended and/or implemented?	☒	☐	☐	**See below**

Please provide further details/steps

An inspection was conducted on November 27, 2018. The premise must ensure the following:

- Discontinue offering any services which require multi-use medical equipment/instruments to be sterilized
- Discontinue use of the Prestige 2100 Classic sterilizer
- Discontinue use of any multi-use medical equipment/instruments that were sterilized in the Prestige 2100 Classic sterilizer on or prior to the date of inspection; they are to be sterilized in accordance with the requirements of the Provincial Infectious Diseases Advisory Committee Best Practices for Cleaning, Disinfection and Sterilization of Medical Equipment/Devices in All Health Care Settings, May 2013 (“PIDAC”)
- Prior to resuming sterilization of reusable medical equipment/instruments:
  - Provide an approved sterilizer and use a sterilization process that complies with the requirements of PIDAC
  - Conduct and provide three consecutive negative spore tests (using biological indicators) with the Prestige 2100 Classic sterilizer and provide the results to York Region Public Health
  - Ensure items are completely submerged during the cleaning process
  - Ensure brushes used for manual cleaning of medical equipment/instruments are inspected frequently; high-level disinfected or disposed of at end of day
  - Ensure every package for sterilization is labelled appropriately and in a manner that does not compromise the integrity of the package

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IPAC Lapse Investigation	Yes	No	N/A	Please provide further details/steps
				○ Perform daily spore testing (using biological indicators or "BI") on the sterilizer and document the results ○ Ensure sterilized items are not released for patient use until the results of the BI are available and found to be negative OR obtain passed results from Type 5 or 6 chemical indicators and verification that physical parameters (time, temperature and pressure) have been achieved ○ Monitor and ensure records are kept on-site to document BI, chemical indicators and physical parameters have been met for every sterilization cycle ○ Ensure a recall policy is in place in the event of equipment/instrument sterilization failures ○ Ensure medical equipment/instruments are maintained in good repair ○ Provide appropriate personal protective equipment including gloves, gowns, mask and eye protection for staff in the reprocessing area

Date any order(s) or directive(s) were issued to the owner/operator (if applicable) (yyyy/mm/dd)

2018/11/30

Initial Report Comments and Contact Information

Any additional Comments: (Please do not include any personal information or personal health information)

York Region Public Health provided an inspection report to the premise on November 27, 2018 outlining corrective measures to be implemented. The operator was educated on site during the inspection and instructed to use proper infection prevention and control practices. A follow-up written order under the Health Protection and Promotion Act was issued on November 30, 2018. Follow-up will be conducted to ensure corrective measures have been implemented.

If you have any further questions, please contact

Health Connection

Telephone Number	Email Address
1-800-361-5653	Health.inspectors@york.ca

Final Report

Date of Final Report posting (yyyy/mm/dd)

2019/07/10

Date any order(s) or directive(s) were issued to the owner/operator (if applicable) (yyyy/mm/dd)

Brief description of corrective measures taken

Follow-up was conducted on December 7, 2018 where it was noted by York Region Public Health that all corrective measures have been put into place. Operator discontinued sterilization practices and the use of reusable medical instruments and is using sterile, pre-packaged single-use medical instruments that are discarded after use.

Date of all corrective measures were confirmed to have been completed (yyyy/mm/dd)

2018/12/07

Final Report Comments and Contact Information

Any Additional Comments: (Please do not include any personal information or personal health information)

If you have any further questions, please contact

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